

***PERSPECTIVES IN NUTRITIONAL SCIENCE***

**Oxygen – the forgotten nutrient**

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1 **Abstract**

2 Oxygen is essential for the maintenance and growth of aerobic animals, similar to the essentiality  
3 of what are classically considered nutrients. Nevertheless, O<sub>2</sub> is not customarily regarded as a  
4 nutrient, this reflecting the route by which it enters the body – through the lungs or gills in  
5 vertebrates, rather than via the mouth and gastrointestinal tract. A relative deficiency of O<sub>2</sub>  
6 occurs at high altitudes and during deep-sea diving, to which distinct adaptations occur.  
7 Deficiency is also evident in lung diseases such as emphysema. Without O<sub>2</sub>, mitochondrial  
8 respiration and oxidative phosphorylation cannot take place. At a molecular level, cells adapt to  
9 O<sub>2</sub> deficiency by switching from oxidative metabolism to anaerobic glycolysis and there are  
10 changes in the expression of a multiplicity of genes, driven by hypoxia-sensitive transcription  
11 factors, particularly HIF-1. It is argued that O<sub>2</sub> should be fully included within the remit of  
12 nutritional science alongside the other essential macro-nutrients.

13

14

15 *149 words*

16 The core of nutritional science has long been the provision of macro- and micro-nutrients, the  
17 processes by which they are taken up by the body, the metabolic, molecular and cellular systems  
18 with which they are involved, and the consequences for the maintenance of health and the  
19 prevention of disease of either under- or over-provision. The macro-nutrients are customarily  
20 defined as encompassing proteins, carbohydrates and lipids, while micro-nutrients refer to the  
21 multiplicity of vitamins, minerals and trace elements that are required for normal physiological  
22 function. Perusal of any textbook of nutrition will show chapters devoted to each of these  
23 groups of nutrients. However, one major macro-nutrient that does not feature is oxygen – other  
24 than indirectly in relation to energy expenditure and metabolic rate in the context of energy  
25 balance and substrate utilization (RQ). Indeed, O<sub>2</sub> may not even be listed in the index, reflecting  
26 the fact that many would not consider it to be a nutrient as such. This then raises the question  
27 of what is a nutrient?

28 The *Oxford English Dictionary* defines a nutrient as “a substance that provides nourishment  
29 for the maintenance of life and for growth”; other definitions include “any substance or matter  
30 that is needed for the life and growth of living things” (*Webster’s*). O<sub>2</sub> is self-evidently an essential  
31 requirement for all aerobic organisms, and given such definitions it is unambiguously a nutrient.  
32 The explanation for why O<sub>2</sub> is invariably ignored as a critical nutrient lies in the route by which  
33 it is obtained - nutrients are regarded as being delivered from the diet through the mouth and  
34 via the gastrointestinal tract. O<sub>2</sub>, in contrast, is procured by a distinctly different route – from  
35 the ambient air via the lungs in terrestrial vertebrates, and from the surrounding water through  
36 the gills in fish (Table 1).

37 This article considers O<sub>2</sub> as a nutrient (macro-nutrient) and the similarities and  
38 dissimilarities that are evident in comparison with the recognised, classical nutrients.

39

#### 40 **Provision and delivery of oxygen**

41 In mammals, and other higher animals, there is a requirement for what is in effect the  
42 continuous, or virtually continuous, delivery of O<sub>2</sub>. This contrasts with other nutrients, the  
43 provision of which is episodic, most mammals being “periodic feeders though constant  
44 metabolisers”. A relative lack of O<sub>2</sub> occurs in specific situations, either continuously in the case  
45 of terrestrial species living at high altitudes, or acutely and temporarily such as with aquatic  
46 animals undergoing deep-sea dives. Even some terrestrial animals living at sea level may be  
47 periodically exposed to low levels of O<sub>2</sub>, such as the naked mole-rat in its underground  
48 burrows<sup>(1)</sup>. When acute or chronic O<sub>2</sub> deficiency is part of the ecological niche or environmental  
49 circumstances to which a species is customarily exposed, selective adaptations have evolved. For

50 example, in the naked mole-rat resistance to near anoxic conditions is sustained by utilising  
51 fructose as a fuel in glycolysis, thereby bypassing the key regulatory glycolytic enzyme  
52 phosphofructokinase<sup>(1)</sup>.

53         Once taken up by the lungs, O<sub>2</sub> is distributed in essence immediately and directly to  
54 tissues and cells throughout the body, needing no prior processing before being made available.  
55 In contrast, the classical nutrients normally require release from the complex structures of the  
56 foods in which they are present, as well as processing to a form that can be transferred out of  
57 the interior of the gastrointestinal tract (as with polysaccharides and triglycerides). In addition, in  
58 many cases once food has been digested the nutrients released are in many cases transported  
59 from the gastrointestinal tract via substrate-specific transporters (e.g. Na<sup>+</sup>-dependent glucose  
60 transporter and amino acid transporters) rather than moving passively across cell membranes.

61         Following transfer from the lungs (or gills) into the circulation the handling of O<sub>2</sub>  
62 becomes more similar to that of other nutrients. O<sub>2</sub> is transported to tissues and cells by the  
63 specific iron-containing metalloprotein, haemoglobin, located in the cytoplasm of erythrocytes  
64 in vertebrates. This has parallels with the delivery of a number of other nutrients, such as lipids  
65 and retinol, to the sites where they are required. Not all nutrients are transferred directly to the  
66 site of action - many, including glucose (as glycogen), fatty acids (as triglycerides), and vitamins  
67 such as retinol and vitamin D, are first stored prior to being delivered to the sites where they are  
68 required - with the liver and white adipose tissue being key storage organs. O<sub>2</sub> is stored to a  
69 limited extent in muscle, bound to myoglobin, for local use only within the tissue, and this is  
70 especially evident in marine mammals that undergo apnoea when diving<sup>(2)</sup>.

71

## 72 **Metabolic functions**

73 Despite not being considered within the remit of nutritional science in whole-body terms, at a  
74 cellular level O<sub>2</sub> is recognised as a critical factor without which respiration and other key  
75 metabolic processes cannot take place. Oxidative metabolism, particularly the catabolism of fatty  
76 acids and glucose with the production of ATP through oxidative phosphorylation and  
77 mitochondrial respiration, requires a continuous supply of O<sub>2</sub>. Metabolic pathways central to  
78 this include glycogenolysis, glycolysis and lipolysis, and involve cytochrome enzyme systems  
79 within the mitochondria<sup>(3)</sup>. The number of mitochondria in a cell, and whether there is a highly  
80 developed cristae structure within these organelles, varies according to the extent to which each  
81 cell type undergoes oxidative metabolism and consumes O<sub>2</sub>.

82 Brown adipocytes in rodents adapted to the cold, for example, have large numbers of  
83 mitochondria with densely packed cristae, reflecting the exceptionally high levels of fatty acid  
84 oxidation and O<sub>2</sub> consumption needed for thermoregulatory heat production (thermogenesis)<sup>(4)</sup>.

85

### 86 **Oxygen deficiency states**

87 The complete absence of O<sub>2</sub> leads to death within minutes in man and other mammals. In  
88 addition to environmental circumstances in which a relative lack of O<sub>2</sub> occurs related to the  
89 ecological niche of a species, there are certain disease states, primarily lung diseases such as  
90 pulmonary fibrosis and emphysema, where the provision of O<sub>2</sub> to the body as a whole is  
91 impaired<sup>(5)</sup>. There are also states of cyclic O<sub>2</sub> lack, as in obstructive sleep apnoea, which is one of  
92 the disorders particularly associated with obesity<sup>(6)</sup>. In each of these cases the overall availability  
93 of O<sub>2</sub> is limited, though not necessarily to a specific tissue. O<sub>2</sub> deficiency can be ameliorated,  
94 both acutely and chronically, whether in lung disorders such as chronic obstructive pulmonary  
95 disease or in medical emergencies, by increasing the provision through O<sub>2</sub> therapy.

96 The O<sub>2</sub> tension (pO<sub>2</sub>) of inspired air at sea level is 160 mmHg and in alveolar blood it is  
97 approximately 104 mmHg, while the general level of oxygenation in tissues is of the order of 40-  
98 50 mmHg<sup>(7-9)</sup>. However, some tissues have a markedly lower pO<sub>2</sub>, examples including the retina,  
99 thymus and spleen, with a pO<sub>2</sub> of 2-25, 10 and 16 mmHg, respectively<sup>(7-9)</sup>.

100 As well as low levels of O<sub>2</sub> being characteristic of certain tissues under normal  
101 circumstances, local deprivation also occurs in specific pathological situations. These include the  
102 site of wound healing, in the heart in ischemic disease, in tumours, and in white adipose tissue  
103 depots in obesity<sup>(7-10)</sup>. The pO<sub>2</sub> of solid tumours can be so low that those cells at the centre may  
104 be effectively anoxic. In the case of white fat, a reduced pO<sub>2</sub> has been documented in white  
105 adipose tissue depots of obese rodents<sup>(11-13)</sup>, the O<sub>2</sub> tension being >3-fold lower than in lean  
106 animals<sup>(11,14)</sup>. Adipose tissue hypoxia in obesity is considered in part to reflect the considerable  
107 size of enlarged white adipocytes in relation to the normal diffusion distance of O<sub>2</sub> in tissues<sup>(9,15)</sup>.  
108 This hypoxic state is linked to inflammation and fibrosis, and is considered to be a key factor  
109 underlying the changes in adipose tissue function that lead to the development of the major  
110 obesity-associated diseases, particularly insulin resistance and the metabolic syndrome<sup>(9,15-16)</sup>.

111

### 112 **Metabolic and cellular adaptations to oxygen deficiency**

113 Part of the response to a chronic deficiency of O<sub>2</sub> in a tissue is the stimulation of angiogenesis in  
114 order to extend the vasculature. At the level of the cell, a local deficiency of O<sub>2</sub> leads to  
115 extensive metabolic changes<sup>(7-8,17-18)</sup> (Fig. 1). Glucose and lipid oxidation, oxidative

116 phosphorylation and mitochondrial respiration fall, and there is a compensatory increase in  
117 substrate flux through anaerobic pathways<sup>(7-8,18)</sup>. In particular, the rate of glycolysis is greatly  
118 increased with lactate being the end product rather than pyruvate<sup>(7-8,18)</sup>; under aerobic condition  
119 pyruvate is oxidised via acetyl CoA and the Citric Acid cycle. Elevated rates of glycolysis are  
120 driven by increases in glucose uptake through the recruitment of GLUT1, the basal facilitative  
121 transporter, and raised levels of key glycolytic enzymes<sup>(7-8)</sup>. Tumours have, of course, long been  
122 recognised to produce substantial quantities of lactate, reflecting their marked hypoxic state<sup>(7,19)</sup>.  
123 Similar observations have been made on white adipocytes maintained under hypoxic  
124 conditions<sup>(9,20)</sup>.

125 The range of metabolic changes resulting from low pO<sub>2</sub> extends well beyond the  
126 augmentation of glycolysis. In the specific case of white adipose tissue, microarray studies have  
127 indicated that the expression of approximately 1,300 genes is altered in adipocytes exposed to  
128 1% O<sub>2</sub> (Fig. 1) compared with those incubated under ‘normoxic’ conditions (21% O<sub>2</sub>)<sup>(21)</sup>. In  
129 addition to glucose utilisation, lipolysis and lipid oxidation, the pathways and functions altered in  
130 fat cells in response to low pO<sub>2</sub> include cell-to-cell signalling and interaction, amino acid  
131 metabolism, and cell death<sup>(21)</sup>. This is reflected in changes in the amounts of encoded  
132 transporters, enzymes, and key proteins such as adipokines - including those associated with the  
133 inflammatory response<sup>(9,20)</sup>. Cells not only respond to major differences in pO<sub>2</sub>, but again as  
134 illustrated in adipocytes, they appear to carefully titrate small variations in O<sub>2</sub> tension with  
135 alterations in gene expression and glucose utilisation<sup>(22)</sup>.

136 The cellular sensing of O<sub>2</sub> deficiency is initiated at the cell membrane primarily through  
137 potassium ion channels<sup>(23)</sup> and the intracellular response is transmitted by hypoxia-sensitive  
138 transcription factors which regulate the expression of hypoxia-sensitive genes<sup>(7-8,17-19)</sup>. The most  
139 important of these transcriptional signals are the hypoxia-inducible factors (HIFs), particularly  
140 HIF-1 which is termed the “master regulator of O<sub>2</sub> homeostasis”<sup>(18)</sup>. HIF-1 consists of two  
141 subunits - HIF-1 $\beta$ , which is constitutively expressed, and HIF-1 $\alpha$  which is continuously  
142 synthesised and degraded but is stabilised when O<sub>2</sub> tension is low, this enabling the formation of  
143 the functional transcription factor<sup>(8,17-18)</sup>. The transcription of multiple genes is directly regulated  
144 by HIF-1, including GLUT1, glycolytic enzymes, vascular endothelial growth factor (VEGF),  
145 angiopoietin-like protein 4 and the adipocyte hormone leptin<sup>(7-9,20)</sup>. VEGF is, of course, a key  
146 angiogenic signal, the growth of the vasculature being central to the delivery of O<sub>2</sub> as well as of  
147 other nutrients.

148

149

150 **Coda**

151 O<sub>2</sub> has been a forgotten, or at the very least highly neglected, nutrient. It is absolutely critical for  
152 all aerobic animals, and for most higher species is required on a continuous basis. It is essential  
153 for cellular respiration and for a host of other metabolic processes. States of deficiency are  
154 recognised and can be ameliorated. Cells have the ability to adjust to acute or chronic changes in  
155 O<sub>2</sub> availability, this involving alterations in the expression of a multiplicity of hypoxia-sensitive  
156 genes regulated by key transcription factors.

157 Despite the similarities between O<sub>2</sub> and other nutrients, there are some differences  
158 beyond the route of delivery. There is no meaningful equivalent of the RDA, and in most  
159 circumstances O<sub>2</sub> is both abundant and freely available, and requires no prior processing. In  
160 contrast to many other nutrients, excess is difficult to achieve though toxicity is evident in  
161 artificially induced hyperoxaemia. It is argued that O<sub>2</sub> should be viewed as firmly residing within  
162 the purview of nutritional science.

163

164

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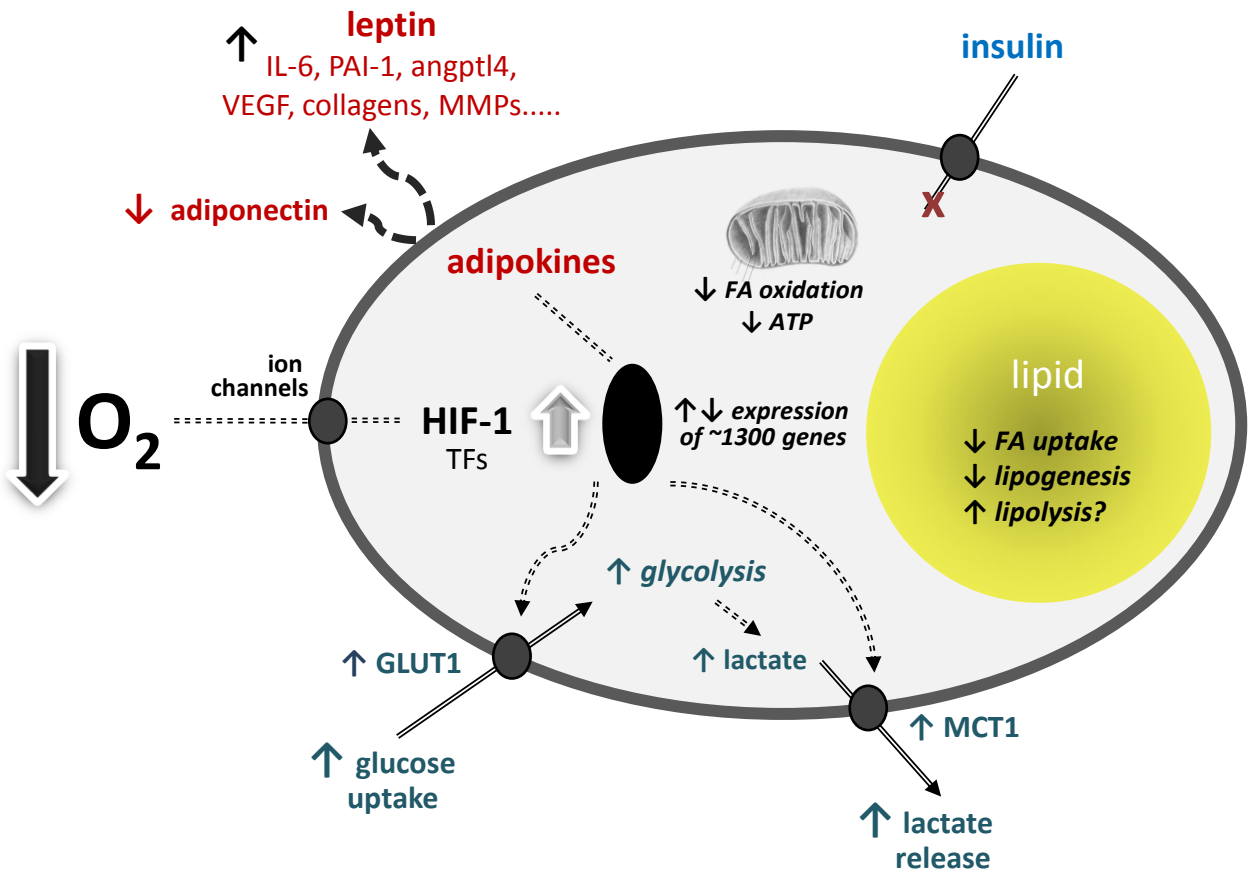


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**Table 1.** Comparison of the characteristics of oxygen with other nutrients

	<b>Classical Nutrient</b>	<b>Oxygen</b>
<b><i>Source</i></b>	Provided by the diet	Obtained from the ambient air (surrounding water in fish)
<b><i>Route of entry</i></b>	Via the mouth and gastrointestinal tract	Through the lungs
<b><i>Frequency of provision</i></b>	Periodic	Essentially constant
<b><i>Processing</i></b>	Requires processing – digestion & absorption	No prior processing required
<b><i>Transportation</i></b>	Transport to tissues in some cases via specific transporters	Transported directly via a specific transporter ( <i>haemoglobin</i> )
<b><i>Storage</i></b>	May be stored temporarily (e.g. glycogen in liver and muscle, lipids in adipose tissue)	Limited storage - only in muscle ( <i>myoglobin</i> ) for local use
<b><i>Deficiency</i></b>	Recognised deficiency diseases	Generally abundant, but relative deficiency at high altitudes, during diving and in lung disease.  Extensive cellular adaptations to low levels
<b><i>RDA</i></b>	Yes	No

**Fig 1.** Schematic illustration of the key cellular responses to O<sub>2</sub> deficiency based on white adipocytes. The effect of low O<sub>2</sub> tension on gene expression, glucose uptake and utilisation, lipid metabolism and the production of selected adipokines is shown. angptl4, angiopoietin-like protein-4; FA, fatty acid; GLUT1, facilitative glucose transporter 1; HIF-1, hypoxia-inducible factor-1; MCT1, monocarboxylate transporter-1; MMPs, matrix metalloproteinases; PAI-1, plasminogen activator inhibitor-1; TF, transcription factors (additional to HIF-1, hypoxia-inducible factor-1); VEGF, vascular endothelial growth factor.



**Annex: Acceptance Information**

02-Aug-2017

Dear Prof. Trayhurn,

I have now received the editorial report on your manuscript entitled "**Oxygen - the forgotten nutrient**", including the comments from a member of the Editorial Board. I am very pleased to inform you that the paper has been accepted for publication in Journal of Nutritional Science as it stands, and I would like to congratulate you on such an interesting and well prepared manuscript.

For your information, the reports from the referees are below. However, since there is no need for revision we will now proceed to prepare your manuscript for publication.

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